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| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|------------------|------------------|----------------------|-------------------------|------------------|
| 09/888,805 | 06/25/2001 | David L. Putnam | РНОТО-3 | 1917 |
| 29039 7 | 590 02/20/2003 | | | |
| JEFFREY B. OSTER | | EXAMINER | | |
| 8339 SE 57TH | ST | | ALEXANDI | ER IVIE |
| MERCER ISL. | ISLAND, WA 98040 | | ALLAMO | ER, ET EE |
| | | | ART UNIT | PAPER NUMBER |
| | | | 1743 | |
| | | | DATE MAILED: 02/20/2003 | 6 |
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Please find below and/or attached an Office communication concerning this application or proceeding.

| | | AS-6 | | | |
|---|---|---|--|--|--|
| | Application No. | Applicant(s) | | | |
| Office Assistant Commission | 09/888,805 | PUTNAM ET AL. | | | |
| Office Action Summary | Examiner | Art Unit | | | |
| Market and a second | Lyle A Alexander | 1743 | | | |
| The MAILING DATE of this communication appeared for Reply | opears on the cov r sheet with th | correspond nce address | | | |
| A SHORTENED STATUTORY PERIOD FOR REP THE MAILING DATE OF THIS COMMUNICATION - Extensions of time may be available under the provisions of 37 CFR 1 after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a re - If NO period for reply is specified above, the maximum statutory perio - Failure to reply within the set or extended period for reply will, by statu - Any reply received by the Office later than three months after the mail earned patent term adjustment. See 37 CFR 1.704(b). Status | .136(a). In no event, however, may a reply be ply within the statutory minimum of thirty (30) d will apply and will expire SIX (6) MONTHS fr tte, cause the application to become ABANDO | e timely filed days will be considered timely. om the mailing date of this communication. NED (35 U.S.C. § 133). | | | |
| 1) Responsive to communication(s) filed on OS | December 2002 . | | | | |
| 2a) ☐ This action is FINAL . 2b) ☒ ☐ | his action is non-final. | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. | | | | | |
| Disposition of Claims | er Ex parte Quayle, 1935 C.D. 11 | , 453 O.G. 213. | | | |
| 4)⊠ Claim(s) <u>1-10</u> is/are pending in the applicati | on. | | | | |
| 4a) Of the above claim(s) <u>1-5</u> is/are withdrawn from consideration. | | | | | |
| 5) Claim(s) is/are allowed. | | | | | |
| 6)⊠ Claim(s) <u>6-10</u> is/are rejected. | | | | | |
| 7) Claim(s) is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction and Application Papers | or election requirement. | | | | |
| 9)☐ The specification is objected to by the Examir | ner. | | | | |
| 10) The drawing(s) filed on is/are: a) acc | epted or b) objected to by the E | xaminer. | | | |
| Applicant may not request that any objection to | the drawing(s) be held in abeyance. | See 37 CFR 1.85(a). | | | |
| 11)☐ The proposed drawing correction filed on is: a)☐ approved b)☐ disapproved by the Examiner. | | | | | |
| If approved, corrected drawings are required in reply to this Office action. | | | | | |
| 12) The oath or declaration is objected to by the E | Examiner. | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | |
| 13) Acknowledgment is made of a claim for forei | gn priority under 35 U.S.C. § 119 | 9(a)-(d) or (f). | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | |
| 1. Certified copies of the priority docume | nts have been received. | | | | |
| 2. Certified copies of the priority documents have been received in Application No | | | | | |
| 3.☐ Copies of the certified copies of the pr application from the International E * See the attached detailed Office action for a list | Bureau (PCT Rule 17.2(a)). | - | | | |
| 14)☐ Acknowledgment is made of a claim for domes | stic priority under 35 U.S.C. § 11 | 9(e) (to a provisional application). | | | |
| a) ☐ The translation of the foreign language p 15)☐ Acknowledgment is made of a claim for dome | • • | | | | |
| Attachment(s) | | | | | |
| 1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) | 5) Notice of Inform | nary (PTO-413) Paper No(s) nal Patent Application (PTO-152) | | | |
| U.S. Patent and Trademark Office PTO-326 (Rev. 04-01) Office | Action Summary | Part of Paper No. 6 | | | |

Application/Control Number: 09/888,805

Art Unit: 1743

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Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 6-9 are rejected under 35 U.S.C. 102(b) as being clearly anticipated by WO 98/15645.

WO 98/15645 teach a biological indicator utilizing an oxygen sensing means utilizing the claimed indicators and polymers. On page 12 lines 35+ teach the indicator can be made by admixing an oxygen sensitive dye with a polymeric material. The claimed method of intended use are of no patentable moment with respect to the pending device claims. The biological indicator of WO 98/15645 is used in a closed package environment that is indistinguishable from the claimed method of intended use and would have been able to perform the claimed function of oxygen indication.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over WO 98/15645.

See WO 98/15645 supra.

WO 98/15645 is silent to the claimed conditions of melting the polymer between about 140°C-240°C.

The court decided <u>In re Boesch</u> (205 USPQ 215) that optimization of a result effective variable is ordinarily within the skill of the art. A result effective variable is one that has predictable and well known results. The melting temperatures of various polymers are well known in the art and have the expected/known function of softening/liquefying the polymer. It is necessary to soften/liquefy the polymer so that it may be mixed with the indicator and shaped/formed in to the desired shape. It would

Application/Control Number: 09/888,805

Art Unit: 1743

have been within the skill of the art to modify WO 98/15645 and use a temperature range of about 140'C-240'C to softening/liquefy the polymer to gain the above advantages as optimization of a result effective variable.

Applicant's election with traverse of group II in Paper No. 5 is acknowledged. The traversal is on the ground(s) that the film material has the potential to be used in the invention of group II. Applicant's state the restriction has been made on the preamble of the claims. This is not found persuasive because the preamble properly directs the field of invention of the claim.

The requirement is still deemed proper and is therefore made FINAL.

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Woodaman teaches a food spoilage indicator that detects a specific toxin in the food.

Lawdermilt teaches a food spoilage indicator that measures changes in pH caused by carbon dioxide production in the food spoilage process.

Patel teach a food spoilage indicator tape. In column 11 lines 55+ teach oxygen may be detected.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lyle A Alexander whose telephone number is 703-308-3893. The examiner can normally be reached on Monday, Wednesday and Friday.

Application/Control Number: 09/888,805

Art Unit: 1743

,29

Page 5

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jill Warden can be reached on 703-308-4037. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9319 for

regular communications and 703-872-9311 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0651.

Lyle A Alexander Primary Examiner Art Unit 1743

February 13, 2003